

# Vaccine Injury Compensation Trust Fund

20X8175

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# **Vaccine Injury Compensation Trust Fund**

**20X8175**

## **Noteworthy News**

1. **There are no noteworthy news items for September 2006.**

**Vaccine Injury Compensation Trust Fund  
20X8175  
Trial Balance (Final - Unaudited)  
August 31, 2006 Through September 30, 2006**

RUN DATE: 10/10/06

RUN TIME: 15:47:32

GL ACCT #	GENERAL LEDGER ACCOUNT	BEGINNING BALANCE	TOTAL DEBITS	TOTAL CREDITS	ENDING BALANCE
<b>ASSETS</b>					
1010	CASH	329.68	1,608,563,470.91	1,595,463,606.14	13,100,194.45
1340	ACCRUED INCOME RECEIVABLE	4,043,334.46	7,677,527.64	83,544.60	11,637,317.50
1610	PRINCIPAL ON INVESTMENTS	2,356,005,000.00	1,596,291,000.00	1,572,442,000.00	2,379,854,000.00
1611	DISCOUNT ON PURCHASE	(13,518,243.82)	3,465,880.86	5,000,601.69	(15,052,964.65)
1612	PREMIUM ON PURCHASE	72,952,196.43	0.00	0.00	72,952,196.43
1613	AMORTIZATION DISC/PREM	(47,182,998.99)	979,396.63	4,825,803.38	(51,029,405.74)
	<b>TOTAL ASSETS</b>	<b>2,372,299,617.76</b>	<b>3,216,977,276.04</b>	<b>3,177,815,555.81</b>	<b>2,411,461,337.99</b>
<b>LIABILITIES</b>					
2150	LIABILITY FOR ALLOCATION	19,564,000.00	3,000,000.00	0.00	16,564,000.00
2155	EXPENDITURE TRANSFER PAY	7,582,739.78	1,170,759.83	0.00	6,411,979.95
	<b>TOTAL LIABILITIES</b>	<b>27,146,739.78</b>	<b>4,170,759.83</b>	<b>0.00</b>	<b>22,975,979.95</b>
	<b>TOTAL NET ASSETS</b>	<b>2,345,152,877.98</b>	<b>3,221,148,035.87</b>	<b>3,177,815,555.81</b>	<b>2,388,485,358.04</b>
<b>CAPITAL</b>					
3310	PRIOR UNDISTRIBUTED INC	2,204,182,330.29	0.00	0.00	2,204,182,330.29
	<b>TOTAL CAPITAL</b>	<b>2,204,182,330.29</b>	<b>0.00</b>	<b>0.00</b>	<b>2,204,182,330.29</b>
<b>INCOME</b>					
5311	INTEREST ON INVESTMENTS	88,832,835.22	83,544.60	7,958,998.55	96,708,289.17
5800	EXCISE TAXES	148,308,750.00	0.00	35,840,000.00	184,148,750.00
5311	AMORTIZATION/ACCRETION	(11,542,697.53)	4,825,803.38	4,445,277.49	(11,923,223.42)
	<b>TOTAL INCOME</b>	<b>225,598,887.69</b>	<b>4,909,347.98</b>	<b>48,244,276.04</b>	<b>268,933,815.75</b>
<b>EXPENSES</b>					
5760	US CLAIMS COURT EXPENSES	3,794,670.00	1,170,759.83	1,170,759.83	3,794,670.00
5760	US DEPT OF JUSTICE EXPENSES	6,269,670.00	0.00	0.00	6,269,670.00
5760	GF TRANSFER - PROGRAM MGMT	0.00	2,448.00	0.00	2,448.00
5765	TRANSFER TO HEALTH RESOURCE:	74,564,000.00	3,000,000.00	3,000,000.00	74,564,000.00
	<b>TOTAL EXPENSE</b>	<b>84,628,340.00</b>	<b>4,173,207.83</b>	<b>4,170,759.83</b>	<b>84,630,788.00</b>
	<b>TOTAL EQUITY</b>	<b>2,345,152,877.98</b>	<b>9,082,555.81</b>	<b>52,415,035.87</b>	<b>2,388,485,358.04</b>
	<b>BALANCE</b>	<b>0.00</b>	<b>3,230,230,591.68</b>	<b>3,230,230,591.68</b>	<b>0.00</b>

**Vaccine Injury Compensation Trust Fund**  
**20X8175**  
**Balance Sheet (Final - Unaudited)**  
**September 30, 2006**

**ASSETS**

**Undisbursed Balances**

Funds Available for Investment	\$ <u>13,100,194.45</u>	\$ 13,100,194.45
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**Receivables**

Interest Receivable	\$ <u>11,637,317.50</u>	\$ 11,637,317.50
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**Investments**

1 Principal On Investments	\$ 2,379,854,000.00	
Discount on Purchase	(15,052,964.65)	
Premium on Purchase	72,952,196.43	
Amortization Discount	2,616,116.85	
Amortization Premium	<u>(53,645,522.59)</u>	
<b>Net Investments</b>		\$ <u>2,386,723,826.04</u>

<b>TOTAL ASSETS</b>		<b>\$ <u><u>2,411,461,337.99</u></u></b>
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**LIABILITIES & EQUITY**

**Liabilities**

Liability for Allocation	\$ 16,564,000.00	
Expenditure Transfer Pay	<u>6,411,979.95</u>	
		\$ 22,975,979.95

**Equity**

Beginning Balance	\$ 2,204,182,330.29	
Net Change	<u>184,303,027.75</u>	
<b>Total Equity</b>		\$ <u>2,388,485,358.04</u>

<b>TOTAL LIABILITIES &amp; EQUITY</b>		<b>\$ <u><u>2,411,461,337.99</u></u></b>
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1 Details about principal holdings and investment transaction data can be viewed at <http://www.federalinvestments.gov/dfi/dfifederalinvestreports.htm>

Bureau of the Public Debt  
Office of Public Debt Accounting  
Trust Fund Management Branch  
Date: October 11, 2006

**Vaccine Injury Compensation Trust Fund**  
**20X8175**  
**Income Statement (Final - Unaudited)**  
**October 1, 2005 Through September 30, 2006**

**RECEIPTS**

	<b>Current Month</b>	<b>Year-To-Date</b>
<b>Revenue</b>		
Excise Taxes	\$ 35,840,000.00	\$ 184,148,750.00
<b>Net Revenue</b>	<u>\$ 35,840,000.00</u>	<u>\$ 184,148,750.00</u>
<b>Investment Income</b>		
1 Interest on Investments	\$ 7,494,928.06	\$ 84,785,065.75
<b>Total Investment Income</b>	<u>\$ 7,494,928.06</u>	<u>\$ 84,785,065.75</u>
<b>Net Receipts</b>	<u>\$ 43,334,928.06</u>	<u>\$ 268,933,815.75</u>

**DISBURSEMENTS**

<b>Outlays</b>		
US Claims Court Expenses	\$ 0.00	\$ 3,794,670.00
US Dept of Justice Expenses	0.00	6,269,670.00
GF Transfer - Program Mgmt	2,448.00	2,448.00
<b>Total Outlays</b>	<u>\$ 2,448.00</u>	<u>\$ 10,066,788.00</u>
<b>Nonexpenditure Transfers</b>		
Transfer to Health Resources	\$ 0.00	\$ 74,564,000.00
2 <b>Total NonExpenditures</b>	<u>\$ 0.00</u>	<u>\$ 74,564,000.00</u>
<b>Total Disbursements</b>	<u>\$ 2,448.00</u>	<u>\$ 84,630,788.00</u>
<b>NET INCREASE/(DECREASE)</b>	<u><u>\$ 43,332,480.06</u></u>	<u><u>\$ 184,303,027.75</u></u>

**Footnotes**

1 Interest on Investments is reported on the accrual basis. Includes interest collected, premium paid, and accrued interest purchased.

Interest on Investments: Cash Basis	\$ 3,747,351.77	\$ 92,907,217.18
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2 Nonexpenditure transfers are reported on the accrual basis.

**Vaccine Injury Compensation Trust Fund  
20X8175  
Budget Reconciliation (Final - Unaudited)  
September 30, 2006**

<u>Security Number/ Account Number</u>	<u>TITLE</u>	<u>AMOUNT</u>	
5311	Interest on Investments (Cash)	92,907,217.18	
5800	Excise Taxes	184,148,750.00	
<b>4114</b>	<b>Appropriated Trust Fund Receipts</b>		<b>M <u>263,363,739.18</u></b>
<b>4114</b>	<b>Appropriated Trust Fund Receipts</b>		<b>D <u>13,692,228.00</u></b>
<b>4382</b>	<b>Temporary Reduction - New Budget Authority</b>		<b>D <u>(101,660.00)</u></b>
<b>4168</b>	<b>Allocations of Realized Authority Reclassified - Auth to be Transferred from Invested Balances - Temp Reduction</b>		<b>D <u>(36,000.00)</u></b>
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances</b>	<b>(16,564,000.00)</b>	
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances - Admin</b>		<b>D <u>0.00</u></b>
<b>4166</b>	<b>Allocations of Realized Authority-To Be Transferred From Invested Balances - Benefit Payments</b>		<b>M <u>(16,564,000.00)</u></b>
<b>4167</b>	<b>Allocations of Realized Authority - Transferred from Invested Balances - Admin</b>		<b>D <u>(3,564,000.00)</u></b>
<b>4167</b>	<b>Allocations of Realized Authority - Transferred from Invested Balances - Benefit Payments</b>		<b>M <u>(54,436,000.00)</u></b>
5760	US Claims Court Expense	(907,599.05)	
5760	US Dept of Justice Expense	(5,504,380.90)	
<b>4901</b>	<b>Delivered Orders - Obligations, Unpaid</b>		<b><u>(6,411,979.95)</u></b>
5760	US Claims Court Expense (Balance)	(214,101.25)	
5760	US Claims Court Expense (New)	(3,280,393.10)	
5760	US Dept of Justice Expense (New)	(2,066,333.66)	
5760	US Dept of Justice Expense (Balance)	(719,166.80)	
5760	GF Transfer - Program Mgmt (Balance)	(2,448.00)	
<b>4902</b>	<b>Delivered Orders - Obligated, Paid</b>		<b>D <u>(6,282,442.81)</u></b>
<b>4394</b>	<b>Receipts Unavailable for Obligation Upon Collection (Beg)</b>	<b>2,162,423,850.67</b>	<b>M</b>
	Rescinded Amount from FY 2005	76,220.00	
5311	Interest on Investments (Cash)	92,907,217.18	
5800	Excise Taxes	184,148,750.00	
5765	Transfers to Health Resources	(74,600,000.00)	
5760	US Claims Court Expense	(3,833,000.00)	
5760	US Dept of Justice Expenses	(6,333,000.00)	
5760	GF Transfer - Program Mgmt	(2,448.00)	
<b>4394</b>	<b>Receipts Unavailable for Obligation Upon Collection (Ending)</b>		<b>M <u>(2,354,787,589.85)</u></b>
<b>4201</b>	<b>Total Actual Resources - Collected Beg/End Bal</b>		<b><u>2,165,127,705.43</u></b>
101000	Fund Balance with Treasury	13,100,194.45	
161000	Investments at Par	2,379,854,000.00	
161100	Less Discount @ Purchase	(15,052,964.65)	
215000	Liability for Allocation	(16,564,000.00)	
215500	Expenditure Payable	(6,411,979.95)	
	<b>Total Assets</b>		<b><u>2,354,925,249.85</u></b>
	<b>Edit Check (Net Assets = 4394 + 4168 + 4382)</b>		<b><u>(2,354,925,249.85)</u></b>
			<b><u>0.00</u></b>

Vaccine Injury Compensation Fund  
20X8175  
FACTSII Adjusted Trial Balance Report (Final - Unaudited)  
September 30, 2006

<u>SGL Account</u>	<u>SGL Account Name</u>	<u>B/E</u>	<u>M/D</u>	<u>B/N</u>	<u>Amount</u>	<u>Closing Entries</u>	<u>Post Closing Balance</u>
1010	Fund Balance with Treasury	E			13,100,194.45		13,100,194.45
1610	Investments in U.S. Treasury Securities Issued by the Bureau of the Public Debt	B			2,165,413,000.00		2,165,413,000.00
1610	Investments in U.S. Treasury Securities Issued by the Bureau of the Public Debt	E			2,379,854,000.00		2,379,854,000.00
1611	Discount on U.S. Treasury Securities Issued by the Bureau of the Public Debt	E			(15,052,964.65)		(15,052,964.65)
4114	Appropriated Trust Fund Receipts	E	M		263,363,739.18	(263,363,739.18)	0.00
4114	Appropriated Trust Fund Receipts	E	D		13,692,228.00	(13,692,228.00)	0.00
4166	Allocations of Realized Authority - To Be Transferred From Invested Balances - Admin	E	D		0.00		0.00
4166	Allocations of Realized Authority - To Be Transferred From Invested Balances - Benefit Payments	E	M		(16,564,000.00)		(16,564,000.00)
4166	Allocations of Realized Authority - To Be Transferred Transferred from Invested Balances - Admin	B	D		0.00		0.00
4166	Allocations of Realized Authority - To Be Transferred Transferred from Invested Balances - Benefit Payments	B	M		0.00		0.00
4167	Allocations of Realized Authority - Transferred from Invested Balances - Admin	E	D		(3,564,000.00)		(3,564,000.00)
4167	Allocations of Realized Authority - Transferred from Invested Balances - Benefit Payments	E	M		(54,436,000.00)	54,436,000.00	0.00
4168	Allocations of Realized Authority Reclassified - Auth to be Transferred from Invested Balances - Temp Reduction	E	D		(36,000.00)	36,000.00	0.00
4201	Total Actual Resources Collected - Beginning	B			2,165,127,705.43	(2,165,127,705.43)	0.00
4201	Total Actual Resources Collected - Ending	E			2,165,127,705.43	216,337,524.37	2,381,465,229.80
4382	Temporary Reduction - New Budget Authority	E	D		(101,660.00)	101,660.00	0.00
4384	Temporary Reduction Returned by Appropriation	B	D		(76,220.00)	76,220.00	0.00
4384	Temporary Reduction Returned by Appropriation	E	D		0.00	(137,660.00)	(137,660.00)
4394	Receipts Unavailable for Obligation Upon Collection (Beg)	B	M		(2,162,423,850.67)	2,162,423,850.67	0.00
4394	Receipts Unavailable for Obligation Upon Collection (Ending)	E	M		(2,354,787,589.85)	0.00	(2,354,787,589.85)
4901	Delivered Orders - Obligations, Unpaid	B			(2,627,634.76)	2,627,634.76	0.00
4901	Delivered Orders - Obligations, Unpaid	E			(6,411,979.95)		(6,411,979.95)
4902	Delivered Orders - Obligations, Paid	E	D	B	(935,716.05)	935,716.05	0.00
4902	Delivered Orders - Obligations, Paid	E	D	N	(5,346,726.76)	5,346,726.76	0.00
B/E	Beginning/Ending Balance				0.00	0.00	0.00
M/D	Mandatory/Discretionary						
B/N	Balance/New						

**Vaccine Injury Compensation Trust Fund  
20X8175  
Payable Information (Final - Unaudited)  
September 30, 2006**

<b>2150 Payable</b>		<b>2155 Payable</b>	
		<b>US Dept. of Justice</b>	<b>US Claims Court</b>
9/30/2005 Balance	0.00	9/30/2005 Balance	(2,020,211.36) (607,423.40)
10/05 Activity	0.00	10/05 Activity	0.00
	<b>0.00</b>		<b>(2,020,211.36) (607,423.40)</b>
11/05 Activity	3,000,000.00	11/05 Activity	0.00
11/05 Adjustments	(3,000,000.00)		0.00
	<b>0.00</b>		<b>(2,020,211.36) (607,423.40)</b>
12/05 Activity	12,000,000.00	12/05 Activity	0.00
12/05 Adjustments	(71,564,000.00)	12/05 Adjustments	214,101.25
	<b>(59,564,000.00)</b>		<b>(3,833,000.00) (4,226,322.15)</b>
01/06 Activity	5,000,000.00	01/06 Activity	0.00
01/06 Adjustments	0.00	01/06 Adjustments	38,330.00
	<b>(54,564,000.00)</b>		<b>(8,289,881.36) (4,187,992.15)</b>
02/06 Activity	6,000,000.00	02/06 Activity	0.00
02/06 Adjustments	(48,564,000.00)	02/06 Adjustments	0.00
	<b>(48,564,000.00)</b>		<b>(8,289,881.36) (4,187,992.15)</b>
03/06 Activity	9,000,000.00	03/06 Activity	1,208,185.72
03/06 Adjustments	(39,564,000.00)	03/06 Adjustments	0.00
	<b>(39,564,000.00)</b>		<b>(7,081,695.64) (4,187,992.15)</b>
04/06 Activity	2,000,000.00	04/06 Activity	0.00
04/06 Adjustments	(37,564,000.00)	04/06 Adjustments	0.00
	<b>(37,564,000.00)</b>		<b>(7,081,695.64) (4,187,992.15)</b>
05/06 Activity	5,000,000.00	05/06 Activity	858,147.94
05/06 Adjustments	(32,564,000.00)	05/06 Adjustments	2,109,633.27
	<b>(32,564,000.00)</b>		<b>(6,223,547.70) (2,078,358.88)</b>
06/06 Activity	5,000,000.00	06/06 Activity	0.00
06/06 Adjustments	(27,564,000.00)	06/06 Adjustments	0.00
	<b>(27,564,000.00)</b>		<b>(6,223,547.70) (2,078,358.88)</b>
07/06 Activity	3,000,000.00	07/06 Activity	0.00
07/06 Adjustments	(24,564,000.00)	07/06 Adjustments	0.00
	<b>(24,564,000.00)</b>		<b>(6,223,547.70) (2,078,358.88)</b>
08/06 Activity	5,000,000.00	08/06 Activity	719,166.80
08/06 Adjustments	(19,564,000.00)	08/06 Adjustments	0.00
	<b>(19,564,000.00)</b>		<b>(5,504,380.90) (2,078,358.88)</b>
09/06 Activity	3,000,000.00	09/06 Activity	0.00
09/06 Adjustments	(16,564,000.00)	09/06 Adjustments	1,170,759.83
	<b>(16,564,000.00)</b>		<b>(5,504,380.90) (907,599.05)</b>
Actual Transfers	<b>58,000,000.00</b>	Actual Transfers	<b>2,785,500.46 3,494,494.35</b>